PTO/SB/21 (08-00)

| Please type a plus si | gn (+) inside this box | \rightarrow | | | | ough 10/31/2002. OMB 0651-0031 | | |
|--|--|-----------------------------|---|---|--|--|--|--|
| Under the Paperwork | Reduction Act of 1995 | o personani re | equired to | J.S. Patent and Trademan respond to a collection of infor | mation unless | . DEPARTMENT OF COMMERCE it displays a valid OMB control number. | | |
| | 6 | THE F | Appli | cation Number | 09/783,2 | 254 | | |
| TRANSMITTAL | | | Filing | Date | February 13, 2001 | | | |
| FORM 🤻 🥳 | | | | Named Inv nt r | SIRHAN, Motasim et al. | | | |
| (to be used for all correspondence aite (1) (to be used for all co | | | Group Art Unit | | 3738 | | | |
| | | | Examiner Name | | PHAN, F | lieu | | |
| Total Number of Page | s in This Submission | 16 | Attorn | ey Docket Number | 020460- | 000930US | | |
| | | ENCLO | SURES | (check all that apply) | | | | |
| X Fee Transmittal Form Assigni | | | ment Pa | | After Allowance Communication to Group | | | |
| Fee Attached | Fee Attached Draw | | | | Appeal Communication to Board of Appeals and Interferences | | | |
| Amendment / Res | Licensing-related Papers | | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | |
| ☐ After Final ☐ | | | Petition Routing Slip (PTO/SB/69) and Accompanying Petition | | | Proprietary Information | | |
| | | | to Conv onal App | | Status Letter | | | |
| Extension of Time | Power of Attorney, Revocation Change of Correspondence Address | | | Other Enclosure(s) (please identify below): | | | | |
| Express Abandon | ☐ Terminal Disclaimer ☐ Request for Refund | | | Return Po | stcard | | | |
| Information Disclo | CD, Number of CD(s) | | | | ğ | | | |
| Certified Copy of I | Remarks The Commissioner is a Deposit Account 20-14 | | | uthorized to 30. | stcard stcard charge any additional fees to CENTER R3700 | | | |
| Response to Miss | | | | I | | TEH R3 | | |
| Response to I Parts under 3 1.52 or 1.53 | | | | | 700 | | | |
| | SIGNA' | TURE OF A | PPLIC | ANT, ATTORNEY, OF | RAGENT | | | |
| Firm and | Townsend and Tov | | | | | | | |
| Individual name | Nena Bains | | | Reg. No. | 47,400 | | | |
| Signature (| VN | <u></u> | | | | | | |
| Date | May 23, 2002 | | | | | | | |
| | | CEF | RTIFICA | TE OF MAILING | | | | |
| I hereby certify that the class mail in an envelope | is correspondence is ope addressed to: As | being depos ssistant Com | sited with missione | the United States Posta er for Patents, Washingto | I Service wi n, D.C. 202 | th sufficient postage as first 31 on this date: May 23, 2002 | | |
| Typed or printed nam | e Kimberly Badi | ei | | | | | | |
| Signature | 1 | bul | | | Date | May 23, 2002 | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3224763 v1

Approved 1 Patent and Trademark C

through 10/31/2002. OMB 0651 0032 S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no passons are required to respond to a collection of information unless displays a valid OMB control pumber.

| VEM! | MOROC | INT OF | FAIN | EN 1 (9) | 31 | Au | orney D | OCKET NO. | | | | | |
|--|-------------|--------------|-------------|-------------------------------------|---|--|--------------------|--|--|--|-------------|--|--|
| METHOD OF PAYMENT | | | | | | | | | | FEE CALCULATION (continued) | | | |
| The Commissioner is hereby authorized to charge | | | | | | 3. | 3. ADDITIONAL FEES | | | | | | |
| Depos | | | | and credit any o | ver payments to: | Lar Fee Cod | Fee | Fee | il Entity Fee le (\$) | Fee Description | Fee Paid | | |
| Account 20-1430 | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | | | | | | | |
| | Deposit | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | | | | |
| Account Townsend and Townsend and Crew LLP | | | | 139 | 130 | 139 | 130 | Non-English specification | | | | | |
| Name | | | | 147 | 2,5 | 20 147 | 2,520 | For filing a request for reexamination | | | | | |
| ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | | | | | 112 | 920 |)* 112 | 920° | Requesting publication of SIR prior to Examiner action | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | | | | 113 | 1,8 | | 1,840* | Examiner action | | | |
| 2. Payment Enclosed: | | | | | | 115 | 110 | 215 | 155 | Extension for reply within first month | | | |
| ☐ Check ☐ Credit card ☐ Money ☐ Other | | | | | | 116 | 400 | 216 | 200 | Extension for reply within second month | | | |
| Order | | | | | | 117 | 920 | 217 | 460 | Extension for reply within third month | | | |
| FEE CALCULATION 1. BASIC FILING FEE | | | | | | 118 | 1,44 | 40 218 | 720 | Extension for reply within fourth month | | | |
| | Entity S | | ntity | | | 128 | 1,9 | 60 228 | 980 | Extension for reply within fifth month | | | |
| | | | | ee Description | | 119 | 320 | _ | 160 | Notice of Appeal | 写 | | |
| | , | | \$) | | Fee Paid | ¬ 120 | 320 | | 160 | Filing a brief in support of an appeal | NO ON | | |
| | | | | Itility filing fee | | 121 | 280 | 221 | 140 | Request for oral hearing | Q 4 | | |
| | | | | esign filing fee lant filing fee | | 138 | 1,5 | | 1,510 | Petition to institute a public use proceeding | CHNOLOGY (| | |
| 108 | 740 2 | :08 3 | 70 R | eissue filing fee | | 140 | 110 | | 55 | Petition to revive - unavoidable | CENTER | | |
| 114 | 160 2 | 14 8 | ю Р | rovisional filing | fee | 141 | 1,28 | 80 241 | 640 | Petition to revive – unintentional | 13 | | |
| | | | | | | 142 | 1,28 | 80 242 | 640 | Utility issue fee (or reissue) | NIET ZOOL | | |
| SUBTOTAL (1) (\$) | | | | | 143 | 460 | | 230 | Design issue fee | F3700 | | | |
| 2. EXTR | A CLAIM | FEES | | | | 144 | 620 | | 310 | Plant issue fee | 37 | | |
| | | | E | xtra Fee | from Fee | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | | |
| Total Claims | s 63 | 62** | = <u>1</u> | laims bel | | 123 | 50 | 123 | 50 | Petitions related to provisional applications | | | |
| Independent Claims | 6 | -5** | = 1 | x \$ | 42 = \$42 | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | | |
| Multiple Dependent | | _ | | x [| = | 581 | . 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | | |
| Large | Entity | Small | Entity | | | 146 | 740 | 246 | 370 | Filing a submission after final rejection | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Descript | ion | 149 | 740 | 249 | 370 | (37 CFR § 1.129(a)) For each additional invention to be | | | |
| 103 | 18 | 203 | 9 | Claims in exc | | | | | | examined (37 CFR § 1.129(b)) | <u> </u> | | |
| 102 | 84 | 202 | 42 | • | daims in excess of 3 | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | i ' | | |
| 104 | 280 84 | 204 | 140 42 | ** Reissue ind | ndent claim, if not paid lependent claims over | 400 | 900 | 169 | 900 | Request for expedited examination of a design application | | | |
| ongmai patent | | | | | | necify) | | | | | | | |
| 110 18 210 9 "Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$)51 | | | | | | Other fee (specify) The Commissioner is authorized to charge any additional fees to | | | | | | | |
| | | | | | | the above noted Deposit Account. | | | | | | | |
| **or numb | ner previou | isly naid if | oreater | For Reissues, se | e above | *R | educed t | y Basic Fi | ling Fee F | raid SUBTOTAL (3) (\$) | | | |

| 1 | SUBMITTED BY | | Complete (if applicable) | | | |
|---|-------------------|------------|--|-------------|-----------|--------------|
| ľ | Name (Print/Type) | Nena Bains | Registration No. (Attorney/Agent) 47,400 | | Telephone | 650-326-2400 |
| ľ | Signature | M V | Y | | Date | May 23, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231 PA 3224762 v1

included on this form. Provide credit card information and authorization on PTO-2038.

I hereby certify that this correspondence is being deposited with the United

States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents

Washington, D.C. 20231 On May 23, 2002

TOWNSEND and TOWNSEND and CREW LLP

Kimberly Badiei

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SIRHAN, Motasim ET AL.

Application No.: 09/783,254

Filed: February 13, 2001

For: INTRAVASCULAR DELIVERY

OF MIZORIBINE

Examiner:

PHAN, Hieu

Attorney Docket No.: 020460-(

Client Ref.

Art Unit:

3738

RESPONSE TO RESTRICTION

REQUIREMENT AND PRELIMINARY

AMENDMENT

ECHNOLOGY CENTER R3700

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Restriction Requirement mailed April 26, 2002, please enter and consider the following amendments and remarks.

IN THE CLAIMS:

Please amend claims 38-43, 45, 46-49, and 51 and add new claim 60 as follows. A marked-up version of the amendments as well as a clean version of all pending claims is shown in the attached Appendix.

38. (Amended) A method as in claim 60, wherein mizoribine is released at a rate between 5 μ g/day to 200 μ g/day.

06/10/2002 NMOHAMM1 00000148 201430 09783254

01 FC:203 02 FC:202 9.00 CH

42.00 CH